

A CS guide to the quantum singular value transformation

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Quantum Physics

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A Grand Unification of Quantum Algorithms

John M. Martyn, Zane M. Rossi, Andrew K. Tan, Isaac L. Chuang

QSVT is a single framework comprising the three major quantum algorithms [Shor's algorithm, Grover's algorithm, and Hamiltonian simulation], thus suggesting a grand unification of quantum algorithms.

Summary

QSVT is now a dominant paradigm for quantum algorithm design.

The framework is laid out in greatest generality in [GSLW18].¹

We present two simplifications of it.

¹Gilyén, Su, Low, Wiebe – *Quantum singular value transformation and beyond*

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The framework is laid out in greatest generality in [GSLW18].¹

We present two simplifications of it.

- 1.** Streamline the proof of the “main theorem” via the Cosine-Sine decomposition

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The framework is laid out in greatest generality in [GSLW18].¹

We present two simplifications of it.

1. Streamline the proof of the “main theorem” via the Cosine-Sine decomposition
2. Streamline applications of the “main theorem” via Chebyshev Series

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Background

Primer: A dictionary for quantum terms

<i>quantum state on q qubits</i>	unit vector $v \in \mathbb{C}^{2^q}$
<i>quantum gate/circuit on q qubits</i>	unitary ² matrix $U \in \mathbb{C}^{2^q \times 2^q}$.
<i>“efficient” circuit on q qubits</i>	a product $\prod_i V_i$ of $\text{poly}(q)$ elementary unitaries.

² U is unitary when its conjugate transpose U^\dagger equals its inverse U^{-1} .

The primitive of the block-encoding

Definition (Block-encoding)

We say that a unitary $\mathbf{U} \in \mathbb{C}^{d \times d}$ is a *block encoding* of the matrix $\mathbf{A} \in \mathbb{C}^{r \times c}$ if

$$\mathbf{U} = \begin{pmatrix} \mathbf{A} & \cdot \\ \cdot & \cdot \end{pmatrix} \iff \mathbf{\Pi}_L \mathbf{U} \mathbf{\Pi}_R = \begin{pmatrix} \mathbf{A} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}.$$

This implies that $\|\mathbf{A}\| \leq 1$.

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This implies that $\|\mathbf{A}\| \leq 1$.

We want *efficient* block-encodings, i.e. \mathbf{U} with poly $\log(rc)$ -sized quantum circuits.

Block-encodings from sparsity

If \mathbf{A} is s -row-sparse and s -column sparse, with entries bounded by 1, we have an efficient block-encoding to \mathbf{A}/s .

The fundamental theorem of block-encodings

Definition (Singular value transformation)

For an even or odd, degree- n polynomial p and a matrix $\mathbf{A} \in \mathbb{C}^{r \times c}$, $p^{(\text{SV})}(\mathbf{A})$ is the linear extension of the map

$$\begin{aligned} p(x) = x^{2k} &\implies p^{(\text{SV})}(\mathbf{A}) = (\mathbf{A}\mathbf{A}^\dagger)^k \\ p(x) = x^{2k+1} &\implies p^{(\text{SV})}(\mathbf{A}) = (\mathbf{A}\mathbf{A}^\dagger)^k \mathbf{A} \end{aligned}$$

This is basically applying p to the singular values of \mathbf{A} .

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Theorem (Quantum singular value transformation)

Given a block-encoding of \mathbf{A} , we can get a block-encoding of $p^{(\text{SV})}(\mathbf{A})$, where p is an even or odd degree- n polynomial satisfying

$$\max_{x \in [-1, 1]} |p(x)| \leq 1.$$

The quantum circuit implementing $p^{(\text{SV})}(\mathbf{A})$ becomes larger by only a factor of n .

Proof of the fundamental theorem

The scalar case

Definition (Quantum signal processing)

A sequence of phase factors $\Phi = \{\phi_j\}_{0 \leq j \leq n} \in \mathbb{R}^{n+1}$ defines a *quantum signal processing circuit*

$$\text{QSP}(\Phi, x) := \mathbf{Z}(\phi_0)\mathbf{R}(x)\mathbf{Z}(\phi_1) \dots \mathbf{Z}(\phi_{n-1})\mathbf{R}(x)\mathbf{Z}(\phi_n)$$

where

$$\mathbf{Z}(\phi) = e^{i\phi\sigma_z} = \begin{pmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{pmatrix}, \quad \mathbf{R}(x) = \begin{pmatrix} x & \sqrt{1-x^2} \\ \sqrt{1-x^2} & -x \end{pmatrix}$$

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For every odd or even, degree- n , bounded p , there is a $\Phi \in \mathbb{R}^{n+1}$ such that*

$$\text{QSP}(\Phi, x) = \begin{pmatrix} p(x) & \cdot \\ \cdot & \cdot \end{pmatrix}$$

The general case

Definition (Phased alternating sequence)

For a block-encoding \mathbf{U} and $\Phi = \{\phi_j\}_{0 \leq j \leq n} \in \mathbb{R}^{n+1}$, let

$$\mathbf{U}_\Phi := \begin{cases} \mathbf{Z}_L(\phi_0) \mathbf{U} \mathbf{Z}_R(\phi_1) \prod_{j=1}^{\frac{n-1}{2}} \mathbf{U}^\dagger \mathbf{Z}_L(\phi_{2j}) \mathbf{U} \mathbf{Z}_R(\phi_{2j+1}) & \text{if } n \text{ is odd, and} \\ \mathbf{Z}_R(\phi_0) \prod_{j=1}^{\frac{n}{2}} \mathbf{U}^\dagger \mathbf{Z}_L(\phi_{2j-1}) \mathbf{U} \mathbf{Z}_R(\phi_{2j}) & \text{if } n \text{ is even.} \end{cases}$$

$$\mathbf{Z}_L(\phi) = \begin{pmatrix} e^{i\phi} \mathbf{I}_r & \\ & e^{-i\phi} \mathbf{I}_{d-r} \end{pmatrix}, \quad \mathbf{Z}_R(\phi) = \begin{pmatrix} e^{i\phi} \mathbf{I}_c & \\ & e^{-i\phi} \mathbf{I}_{d-c} \end{pmatrix},$$

$$\mathbf{U} = \begin{pmatrix} \mathbf{A} & \mathbf{U}_{12} \\ \mathbf{U}_{21} & \mathbf{U}_{22} \end{pmatrix}$$

The fundamental theorem, restated

Theorem

Let the unitary $\mathbf{U} \in \mathbb{C}^{d \times d}$ be a block encoding of \mathbf{A} . Let $\Phi = \{\phi_j\}_{0 \leq j \leq n} \in \mathbb{R}^{n+1}$ be the sequence of phase factors such that $\mathbf{QSP}(\Phi, x)$ computes the degree- n polynomial $p(x)$. Then \mathbf{U}_Φ is a block encoding of $p^{(\text{SV})}(\mathbf{A})$:

$$\begin{aligned} \text{if } p \text{ is odd, } \quad \mathbf{\Pi}_L \mathbf{U}_\Phi \mathbf{\Pi}_R &= \begin{pmatrix} p^{(\text{SV})}(\mathbf{A}) & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}, \\ \text{and if } p \text{ is even, } \quad \mathbf{\Pi}_R \mathbf{U}_\Phi \mathbf{\Pi}_R &= \begin{pmatrix} p^{(\text{SV})}(\mathbf{A}) & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}. \end{aligned}$$

The cosine-sine decomposition

- ▶ Introduced by Davis and Kahan in 1969
- ▶ Strengthened work by Jordan on angles between subspaces (Jordan's lemma, 1875)
- ▶ Named and championed by Stewart

Briefly, whenever some aspect of a problem can be usefully formulated in terms of two-block by two-block partitions of unitary matrices, the CS decomposition will probably add insights and simplify the analysis.

—Paige and Wei

The cosine-sine decomposition

Let $\mathbf{U} \in \mathbb{C}^{d \times d}$ be a 2×2 block matrix which is unitary. Then there exist unitaries $\mathbf{V}_i \in \mathbb{C}^{r_i \times r_i}$ and $\mathbf{W}_j \in \mathbb{C}^{c_j \times c_j}$ giving simultaneous SVDs for all blocks of \mathbf{U} :

$$\begin{pmatrix} \mathbf{U}_{11} & \mathbf{U}_{12} \\ \mathbf{U}_{21} & \mathbf{U}_{22} \end{pmatrix} = \begin{pmatrix} \mathbf{V}_1 & \\ & \mathbf{V}_2 \end{pmatrix} \begin{pmatrix} \mathbf{D}_{11} & \mathbf{D}_{12} \\ \mathbf{D}_{21} & \mathbf{D}_{22} \end{pmatrix} \begin{pmatrix} \mathbf{W}_1 & \\ & \mathbf{W}_2 \end{pmatrix}^\dagger.$$

For example, $\mathbf{U}_{12} = \mathbf{V}_1 \mathbf{D}_{12} \mathbf{W}_2^\dagger$.

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For example, $\mathbf{U}_{12} = \mathbf{V}_1 \mathbf{D}_{12} \mathbf{W}_2^\dagger$.

$$\mathbf{D} := \left(\begin{array}{cc|cc} \mathbf{0} & & \mathbf{I} & \\ & \mathbf{C} & & \mathbf{S} \\ & & \mathbf{I} & \\ \hline & & \mathbf{0} & \\ \mathbf{I} & & & \\ & \mathbf{S} & & -\mathbf{C} \\ & & \mathbf{0} & -\mathbf{I} \end{array} \right) = \begin{pmatrix} \mathbf{0} & \mathbf{I} \\ \mathbf{I} & \mathbf{0} \end{pmatrix} \oplus \begin{pmatrix} \mathbf{C} & \mathbf{S} \\ \mathbf{S} & -\mathbf{C} \end{pmatrix} \oplus \begin{pmatrix} \mathbf{I} & \mathbf{0} \\ \mathbf{0} & -\mathbf{I} \end{pmatrix}.$$

Proof sketch, for $n = 3$

$$\mathbf{U}_\Phi = \mathbf{Z}_L(\phi_0)\mathbf{U}\mathbf{Z}_R(\phi_1)\mathbf{U}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{U}\mathbf{Z}_R(\phi_3)$$

Proof sketch, for $n = 3$

$$\mathbf{U}_\Phi = \mathbf{Z}_L(\phi_0)\mathbf{U}\mathbf{Z}_R(\phi_1)\mathbf{U}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{U}\mathbf{Z}_R(\phi_3)$$

We consider a CS decomposition compatible with the partitioning of \mathbf{U} :

$$\mathbf{U} = \begin{pmatrix} \mathbf{A} & \mathbf{U}_{12} \\ \mathbf{U}_{21} & \mathbf{U}_{22} \end{pmatrix} = \underbrace{\begin{pmatrix} \mathbf{V}_1 & \\ & \mathbf{V}_2 \end{pmatrix}}_{\mathbf{V}} \underbrace{\begin{pmatrix} \mathbf{D}_{11} & \mathbf{D}_{12} \\ \mathbf{D}_{21} & \mathbf{D}_{22} \end{pmatrix}}_{\mathbf{D}} \underbrace{\begin{pmatrix} \mathbf{W}_1 & \\ & \mathbf{W}_2 \end{pmatrix}^\dagger}_{\mathbf{W}^\dagger}.$$

Proof sketch, for $n = 3$

$$\begin{aligned} \mathbf{U}_\Phi &= \mathbf{Z}_L(\phi_0) \mathbf{U} \mathbf{Z}_R(\phi_1) \mathbf{U}^\dagger \mathbf{Z}_L(\phi_2) \mathbf{U} \mathbf{Z}_R(\phi_3) \\ &= \mathbf{Z}_L(\phi_0) \mathbf{V} \mathbf{D} \mathbf{W}^\dagger \mathbf{Z}_R(\phi_1) \mathbf{W} \mathbf{D}^\dagger \mathbf{V}^\dagger \mathbf{Z}_L(\phi_2) \mathbf{V} \mathbf{D} \mathbf{W}^\dagger \mathbf{Z}_R(\phi_3) \end{aligned}$$

Proof sketch, for $n = 3$

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\mathbf{Z}_L and \mathbf{V} commute; \mathbf{Z}_R and \mathbf{W} commute;

$$\begin{aligned} \begin{pmatrix} e^{i\phi}\mathbf{I} & \\ & e^{-i\phi}\mathbf{I} \end{pmatrix} \begin{pmatrix} \mathbf{V}_1 & \\ & \mathbf{V}_2 \end{pmatrix} &= \begin{pmatrix} \mathbf{V}_1 & \\ & \mathbf{V}_2 \end{pmatrix} \begin{pmatrix} e^{i\phi}\mathbf{I} & \\ & e^{-i\phi}\mathbf{I} \end{pmatrix}, \\ \begin{pmatrix} \mathbf{W}_1 & \\ & \mathbf{W}_2 \end{pmatrix} \begin{pmatrix} e^{i\phi}\mathbf{I} & \\ & e^{-i\phi}\mathbf{I} \end{pmatrix} &= \begin{pmatrix} e^{i\phi}\mathbf{I} & \\ & e^{-i\phi}\mathbf{I} \end{pmatrix} \begin{pmatrix} \mathbf{W}_1 & \\ & \mathbf{W}_2 \end{pmatrix}. \end{aligned}$$

Proof sketch, for $n = 3$

$$\begin{aligned} \mathbf{U}_\Phi &= \mathbf{Z}_L(\phi_0)\mathbf{U}\mathbf{Z}_R(\phi_1)\mathbf{U}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{U}\mathbf{Z}_R(\phi_3) \\ &= \mathbf{Z}_L(\phi_0)\mathbf{V}\mathbf{D}\mathbf{W}^\dagger\mathbf{Z}_R(\phi_1)\mathbf{W}\mathbf{D}^\dagger\mathbf{V}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{V}\mathbf{D}\mathbf{W}^\dagger\mathbf{Z}_R(\phi_3) \\ &= \mathbf{V}\left(\mathbf{Z}_L(\phi_0)\mathbf{D}\mathbf{Z}_R(\phi_1)\mathbf{D}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{D}\mathbf{Z}_R(\phi_3)\right)\mathbf{W}^\dagger \\ &= \mathbf{V}\mathbf{D}_\Phi\mathbf{W}^\dagger \end{aligned}$$

Proof sketch, for $n = 3$

$$\begin{aligned}\mathbf{U}_\Phi &= \mathbf{Z}_L(\phi_0)\mathbf{U}\mathbf{Z}_R(\phi_1)\mathbf{U}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{U}\mathbf{Z}_R(\phi_3) \\ &= \mathbf{Z}_L(\phi_0)\mathbf{V}\mathbf{D}\mathbf{W}^\dagger\mathbf{Z}_R(\phi_1)\mathbf{W}\mathbf{D}^\dagger\mathbf{V}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{V}\mathbf{D}\mathbf{W}^\dagger\mathbf{Z}_R(\phi_3) \\ &= \mathbf{V}\left(\mathbf{Z}_L(\phi_0)\mathbf{D}\mathbf{Z}_R(\phi_1)\mathbf{D}^\dagger\mathbf{Z}_L(\phi_2)\mathbf{D}\mathbf{Z}_R(\phi_3)\right)\mathbf{W}^\dagger \\ &= \mathbf{V}\mathbf{D}_\Phi\mathbf{W}^\dagger\end{aligned}$$

This reduces the problem to computing \mathbf{D}_Φ . Recall that

$$\mathbf{D} = \begin{pmatrix} \mathbf{0} & \mathbf{I} \\ \mathbf{I} & \mathbf{0} \end{pmatrix} \oplus \begin{pmatrix} \mathbf{C} & \mathbf{S} \\ \mathbf{S} & -\mathbf{C} \end{pmatrix} \oplus \begin{pmatrix} \mathbf{I} & \mathbf{0} \\ \mathbf{0} & -\mathbf{I} \end{pmatrix}.$$

Further, we have

$$\mathbf{D}_\Phi = \left[\begin{pmatrix} \mathbf{0} & \mathbf{I} \\ \mathbf{I} & \mathbf{0} \end{pmatrix} \right]_\Phi \oplus \left[\begin{pmatrix} \mathbf{C} & \mathbf{S} \\ \mathbf{S} & -\mathbf{C} \end{pmatrix} \right]_\Phi \oplus \left[\begin{pmatrix} \mathbf{I} & \mathbf{0} \\ \mathbf{0} & -\mathbf{I} \end{pmatrix} \right]_\Phi$$

Proof sketch, for $n = 3$

Upon proving the statement for the individual cases, we get

$$\begin{aligned}\mathbf{U}_\Phi &= \mathbf{V}\mathbf{D}_\Phi\mathbf{W}^\dagger \\ &= \begin{pmatrix} \mathbf{V}_1 & \\ & \mathbf{V}_2 \end{pmatrix} \begin{pmatrix} p^{(\text{SV})}(\mathbf{D}_{11}) & \cdot \\ & \cdot \end{pmatrix} \begin{pmatrix} \mathbf{W}_1^\dagger & \\ & \mathbf{W}_2^\dagger \end{pmatrix} \\ &= \begin{pmatrix} \mathbf{V}_1 p^{(\text{SV})}(\mathbf{D}_{11}) \mathbf{W}_1^\dagger & \cdot \\ & \cdot \end{pmatrix} \\ &= \begin{pmatrix} p^{(\text{SV})}(\mathbf{A}) & \cdot \\ & \cdot \end{pmatrix}\end{aligned}$$

What we avoided

Lemma 14 (Invariant subspace decomposition of a projected unitary). *Let \mathcal{H}_U be a finite-dimensional Hilbert-space and $U, \Pi, \tilde{\Pi} \in \text{End}(\mathcal{H}_U)$ be as in Definition 11. Then using the singular value decomposition of Definition 12 we have that*

$$U = \bigoplus_{i \in [k]} [\varsigma_i]_{\mathcal{H}_i}^{\mathcal{H}_i} \oplus \bigoplus_{i \in [r] \setminus [k]} \begin{bmatrix} \varsigma_i & \sqrt{1 - \varsigma_i^2} \\ \sqrt{1 - \varsigma_i^2} & -\varsigma_i \end{bmatrix}_{\tilde{\mathcal{H}}_i}^{\mathcal{H}_i} \oplus \bigoplus_{i \in [d] \setminus [r]} [1]_{\tilde{\mathcal{H}}_i^R}^{\mathcal{H}_i^R} \oplus \bigoplus_{i \in [d] \setminus [r]} [1]_{\tilde{\mathcal{H}}_i^L}^{\mathcal{H}_i^L} \oplus [\cdot]_{\tilde{\mathcal{H}}_\perp}^{\mathcal{H}_\perp}. \quad (24)$$

Moreover,

$$2\Pi - I = \bigoplus_{i \in [k]} [1]_{\mathcal{H}_i}^{\mathcal{H}_i} \oplus \bigoplus_{i \in [r] \setminus [k]} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}_{\mathcal{H}_i}^{\mathcal{H}_i} \oplus \bigoplus_{i \in [d] \setminus [r]} [1]_{\mathcal{H}_i^R}^{\mathcal{H}_i^R} \oplus \bigoplus_{i \in [d] \setminus [r]} [-1]_{\mathcal{H}_i^L}^{\mathcal{H}_i^L} \oplus [\cdot]_{\mathcal{H}_\perp}^{\mathcal{H}_\perp}, \quad (25)$$

$$e^{i\phi(2\Pi - I)} = \bigoplus_{i \in [k]} [e^{i\phi}]_{\mathcal{H}_i}^{\mathcal{H}_i} \oplus \bigoplus_{i \in [r] \setminus [k]} \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}_{\mathcal{H}_i}^{\mathcal{H}_i} \oplus \bigoplus_{i \in [d] \setminus [r]} [e^{i\phi}]_{\mathcal{H}_i^R}^{\mathcal{H}_i^R} \oplus \bigoplus_{i \in [d] \setminus [r]} [e^{-i\phi}]_{\mathcal{H}_i^L}^{\mathcal{H}_i^L} \oplus [\cdot]_{\mathcal{H}_\perp}^{\mathcal{H}_\perp}, \quad (26)$$

and

$$2\tilde{\Pi} - I = \bigoplus_{i \in [k]} [1]_{\tilde{\mathcal{H}}_i}^{\tilde{\mathcal{H}}_i} \oplus \bigoplus_{i \in [r] \setminus [k]} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}_{\tilde{\mathcal{H}}_i}^{\tilde{\mathcal{H}}_i} \oplus \bigoplus_{i \in [d] \setminus [r]} [-1]_{\tilde{\mathcal{H}}_i^R}^{\tilde{\mathcal{H}}_i^R} \oplus \bigoplus_{i \in [d] \setminus [r]} [1]_{\tilde{\mathcal{H}}_i^L}^{\tilde{\mathcal{H}}_i^L} \oplus [\cdot]_{\tilde{\mathcal{H}}_\perp}^{\tilde{\mathcal{H}}_\perp}, \quad (27)$$

$$e^{i\phi(2\tilde{\Pi} - I)} = \bigoplus_{i \in [k]} [e^{i\phi}]_{\tilde{\mathcal{H}}_i}^{\tilde{\mathcal{H}}_i} \oplus \bigoplus_{i \in [r] \setminus [k]} \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}_{\tilde{\mathcal{H}}_i}^{\tilde{\mathcal{H}}_i} \oplus \bigoplus_{i \in [d] \setminus [r]} [e^{-i\phi}]_{\tilde{\mathcal{H}}_i^R}^{\tilde{\mathcal{H}}_i^R} \oplus \bigoplus_{i \in [d] \setminus [r]} [e^{i\phi}]_{\tilde{\mathcal{H}}_i^L}^{\tilde{\mathcal{H}}_i^L} \oplus [\cdot]_{\tilde{\mathcal{H}}_\perp}^{\tilde{\mathcal{H}}_\perp}. \quad (28)$$

Applications of the fundamental theorem

Polynomial approximation for applications

In applications, we want a block-encoding of $f(\mathbf{A})$, so we compute an approximation $p^{(\text{SV})}(\mathbf{A})$.

Application	$f(x)$	Method of approximation
Random walks	x^k	ad-hoc
Simulating Hamiltonians	e^{ixt}	Chebyshev truncation
Solving linear systems	$1/x$	ad-hoc
Computing entropies	x^{-c}	Fourier truncation of Taylor truncation
Taking roots of unitaries	\arcsin	Fourier truncation of Taylor truncation

Polynomial approximation for applications

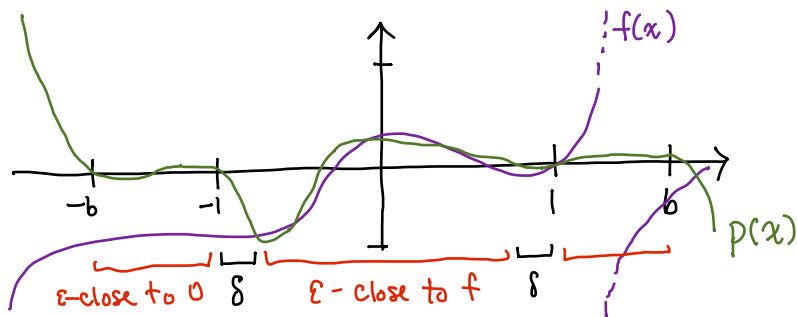
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Taking roots of unitaries	\arcsin	Fourier truncation of Taylor truncation

We recover all the above up to a log, just using Chebyshev-based methods!

Theorem on polynomial approximation

Let f be an analytic function in $[-1, 1]$ which is bounded by 1 in a complex ellipse E_ρ around $[-1, 1]$. Then for $\delta \ll (\rho - 1)^2$, and parameters $\varepsilon \in (0, 1)$, and $b > 1$, there is a polynomial q of degree $O(\frac{b}{\delta} \log \frac{b}{\delta\varepsilon})$ with the form:



Thank you!

For further reading:

- ▶ Paige and Wei, *History and generality of the CS decomposition*
- ▶ Edelman and Jeong, *Fifty three matrix factorizations: A systematic approach*
- ▶ Trefethen, *Approximation theory and approximation practice*
- ▶ Martyn, Rossi, Tan, and Chuang, *A grand unification of quantum algorithms*